

FOIA ANNUAL REPORT

FOR
10/01/2009
THROUGH
09/30/2010

Friday, January 21, 2011

The following **Annual Freedom of Information Act** report covers the Period 10/01/2009, through 09/30/2010, as required by 5 U.S.C. 552.

I. BASIC INFORMATION REGARDING REPORT

1. Provide name, title, address, and telephone number of person(s) to be contacted with questions about the Report.

National Aeronautics and Space Administration (NASA)
300 E Street, SW, Washington, DC 20546

Point of Contact:

Miriam Brown-Lam
Principal Agency FOIA Officer
202-358-0718

2. Provide an electronic link for access to the Report on the agency Web site.

<http://www.hq.nasa.gov/office/pao/FOIA/agency/>

3. Explain how to obtain a copy of the Report in paper form.

WRITE TO:

National Aeronautics and Space Administration (NASA)
Attention: Freedom of Information Act (FOIA) Office
300 E Street, SW, Code: P-FOIA
Washington, DC 20546-001
EMAIL: foia@hq.nasa.gov

II. MAKING A FOIA REQUEST

1. Provide names, addresses, and telephone numbers of all individual agency components that receive FOIA requests.

Miriam Brown-Lam, NASA Chief FOIA Public Liaison Officer; 202-358-FOIA
NASA, FOIA Requester Service Center, Room 5015, Washington, DC 20546-0001
Vacant, HQ FOIA Public Liaison Officer; 202-358-2462
NASA Headquarters (HQ), FOIA Requester Service Center, Code NB, Washington,

DC 20546-0001

Frank LaRocca, OIG FOIA Public Liaison Officer; 202-358-2575

NASA Office of the Inspector General (OIG), FOIA Requester Service Center, Code W, Washington, DC 20546-0001

Kelly Garcia, ARC FOIA Public Liaison Officer; 650-604-3273

NASA Ames Research Center (ARC), FOIA Requester Service Center, Mail Stop 943-4, Moffett Field, CA 94035

Kim Lewis, DFRC FOIA Public Liaison Officer; 661-276-2704

NASA Dryden Flight Research Center (DFRC), FOIA Requester Service Center, Mail Stop 273, Edwards, CA 93523

Angelia Pierce, GRC FOIA Public Liaison Officer; 216-433-2813

NASA Glenn Research Center (GRC), FOIA Requester Service Center, Mail Code 0610, 21000 Brookpark Road, Cleveland, OH 44135

Joan Belt, GSFC FOIA Public Liaison Officer; 301-286-4721

NASA Goddard Space Flight Center (GSFC), FOIA Requester Service Center, Mail Code 213.1, Greenbelt, MD 20771

Dennis Mahon, JPL FOIA Public Liaison Officer; 818-393-6779

NASA Jet Propulsion Laboratory (JPL), 4800 Oak Grove Drive, FOIA Requester Service Center, Pasadena, CA 91109

Stella Luna, JSC FOIA Public Liaison Officer; 281-483-8612

NASA Johnson Space Center (JSC), FOIA Requester Service Center, Mail Code AP2, Houston, TX 77058

Penny Myers, KSC FOIA Public Liaison Officer; 321-867-9280

NASA Kennedy Space Center (KSC), FOIA Requester Service Center, Mail Code XA-A1, Kennedy Space Center, FL 32899

Carissa Wheeler, LaRC FOIA Public Liaison Officer; 757-864-4274

NASA Langley Research Center (LaRC), FOIA Requester Service Center, Mail Stop 218, Hampton, VA 23681

Judi Hollingsworth, MSFC FOIA Public Liaison Officer; 256-544-1837

NASA Marshall Space Flight Center (MSFC), FOIA Requester Service Center, Mail Code CS20, Marshall Space Flight Center, AL 35812

Paula Viola, NSSC FOIA Public Liaison Officer; 228-216-3534

NASA Shared Service Center (NSSC), Bldg 5100 Standby Road, FOIA Requester Service Center, Stennis Space Center, MS 39529

Joy Smith, SSC FOIA Public Liaison Officer; 228-688-2118

NASA Stennis Space Center (SSC), Code CA 100, FOIA Requester Service Center, Stennis Space Center, MS 39529-6000

2. Provide a brief description of why some requests are not granted and an overview of certain general categories of the agency's records to which the FOIA exemptions apply.

Most of the denials in part were based on exempted material requested. Others were based on no records responses to the request.

Requesters also withdrew their request after finding the information electronically.

III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

1. Provide any agency-specific acronyms or terms used in this Report.

HQ NASA Headquarters
ARC Ames Research Center
DFRC Dryden Flight Research Center
GSPC Goddard Space Flight Center
JSC Johnson Space Flight Center
KSC Kennedy Space Flight Center
LaRC Langley Research Center
GRC Glenn Research Center
MSFC Marshall Space Flight Center
OGC Office of General Counsel
OIG Office of the Inspector General
SSC Stennis Space Center

2. Include the following definitions of terms used in this Report:

- a. **Administrative Appeal** - a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
- b. **Average Number** - the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
- c. **Backlog** - the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
- d. **Component** - for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
- e. **Consultation** - the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- f. **Exemption 3 Statute** - a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
- g. **FOIA Request** - a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- h. **Full Grant** - an agency decision to disclose all records in full in response to a FOIA request.
- i. **Full Denial** - an agency decision not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.

- j. **Median Number** - the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
 - k. **Multi-Track Processing** - a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.
 - i. **Expedited Processing** - an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
 - ii. **Simple Request** - a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.
 - iii. **Complex Request** - a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.
 - l. **Partial Grant/Partial Denial** - in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
 - m. **Pending Request or Pending Administrative Appeal** - a request or administrative appeal for which an agency has not taken final action in all respects.
 - n. **Perfected Request** - a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
 - o. **Processed Request or Processed Administrative Appeal** - a request or administrative appeal for which an agency has taken final action in all respects.
 - p. **Range in Number of Days** - the lowest and highest number of days to process requests or administrative appeals.
 - q. **Time Limits** - the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).
3. Include the following concise descriptions of the nine FOIA exemptions:
- a. **Exemption 1:** classified national defense and foreign relations information
 - b. **Exemption 2:** internal agency rules and practices
 - c. **Exemption 3:** information that is prohibited from disclosure by another federal law
 - d. **Exemption 4:** trade secrets and other confidential business information
 - e. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges
 - f. **Exemption 6:** information involving matters of personal privacy
 - g. **Exemption 7:** records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual
 - h. **Exemption 8:** information relating to the supervision of financial institutions

- i. **Exemption 9:** geological information on wells

IV. Exemption 3 Statutes

A. For Initial Requests				
Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component	Total Number of Times Relied upon by Agency
5 USC 638(j)(2)	Contracts withheld data generated by contractors who elected to retain their data rights in accordance with the retention period agreed to under the contract.	None cited	NSSC : 1	1
10 USC 2305(g)	Procurement, unsuccessful proposals for competitive procurements and those portions of successful proposals that were not incorporated into the contracts.	None cited	GRC : 2 GSFC : 6 JSC : 1 KSC : 2 LaRC : 3 MSFC : 3	17
22 USC 2751	Technical data withheld according to regulations establishing lists or categories of technical data that may not be exported, under the Arms Export Control Act.	None cited	GRC : 1 JPL : 1	2
41 USC 423	Performance evaluations compiled by the Procurement Office are used in source selection activities covered by the Procurement Integrity Act and are considered sensitive.	None cited	GSFC : 2	2

B. For Appeals				
Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component	Total Number of Times Relied upon by Agency

V. FOIA REQUESTS

A. Received, Processed and Pending FOIA Requests				
	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
ARC	15	83	90	8
DFRC	4	28	32	-
GRC	10	28	35	3
GSFC	113	175	262	26
HQ	194	141	248	87
JPL	3	48	51	-
JSC	3	230	209	24
KSC	4	77	71	10
LaRC	74	59	123	10
MSFC	4	111	108	7
NSSC	-	29	27	2
OGC	-	3	1	2

OIG	6	21	26	1
SSC	-	25	23	2
AGENCY OVERALL	430	1058	1306	182

B.(1) Disposition of FOIA Requests All Processed Requests										
	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions						
				No records	Request withdrawn	Fee-related reason	Records not reasonably described	Duplicate Request	Other *Explain in chart below	TOTAL
ARC	21	45	4	9	4	2	-	3	2	90
DFRC	14	7	-	6	1	-	-	1	3	32
GRC	11	10	5	3	2	-	-	-	4	35
GSFC	75	83	4	23	56	2	1	7	11	262
HQ	53	16	2	32	81	4	-	7	53	248
JPL	10	8	1	9	4	4	1	-	14	51
JSC	97	38	4	27	9	17	6	1	10	209
KSC	24	17	3	10	4	2	2	1	8	71
LaRC	32	28	2	17	31	-	-	1	12	123
MSFC	44	47	5	4	4	-	-	-	4	108
NSSC	17	4	-	-	-	-	-	1	5	27
OGC	-	1	-	-	-	-	-	-	-	1
OIG	4	13	2	4	-	-	-	-	3	26
SSC	11	3	-	6	2	-	-	-	1	23
AGENCY OVERALL	413	320	32	150	198	31	10	22	130	1306

B.(2) Disposition of FOIA Requests Other Reasons for Full Denials Based on Reasons Other than Exemptions		
Component	Description of Other Reasons for Denials from Chart B (1) & Number of Times Those Reasons Were Relied upon	TOTAL
ARC	Referrals 1 Not a proper FOIA request for some other reason 1	2
DFRC	Referrals 1 Not a proper FOIA request for some other reason 2	3

GRC	Referrals 3 Not a proper FOIA request for some other reason 1	4
GSFC	Referrals 11	11
HQ	Referrals 48 Not a proper FOIA request for some other reason 4 Not an agency record 1	53
JPL	Referrals 7 Not a proper FOIA request for some other reason 4 Not an agency record 3	14
JSC	Referrals 8 Not a proper FOIA request for some other reason 1 Not an agency record 1	10
KSC	Referrals 6 Not a proper FOIA request for some other reason 2	8
LaRC	Referrals 11 Not a proper FOIA request for some other reason 1	12
MSFC	Referrals 3 Not a proper FOIA request for some other reason 1	4
NSSC	Referrals 3 Not a proper FOIA request for some other reason 1 Not an agency record 1	5
OIG	Referrals 2 Not a proper FOIA request for some other reason 1	3
SSC	Referrals 1	1

B.(3) Disposition of FOIA Requests Number of Times Exemptions Applied														
	(b)(1)	(b)(2)	(b)(3)	(b)(4)	(b)(5)	(b)(6)	(b)(7)(A)	(b)(7)(B)	(b)(7)(C)	(b)(7)(D)	(b)(7)(E)	(b)(7)(F)	(b)(7)(8)	(b)(7)(9)
ARC	-	3	-	46	4	7	-	-	-	-	1	-	-	-
DFRC	-	-	-	6	-	3	-	-	-	-	-	-	-	-
GRC	-	1	3	10	-	3	-	-	-	-	-	-	-	-
GSFC	-	3	8	72	1	8	1	-	1	-	-	-	-	-
HQ	-	2	-	-	6	10	-	-	2	1	-	-	-	-
JPL	-	1	1	4	2	7	-	-	-	-	-	-	-	-
JSC	-	6	1	26	10	9	-	-	1	-	-	-	-	-
KSC	-	1	2	11	2	9	-	-	2	-	-	-	-	-
LaRC	-	-	3	24	2	2	-	-	-	-	-	-	-	-
MSFC	-	2	3	42	7	5	-	-	4	-	-	-	-	-
NSSC	-	-	1	2	-	2	-	-	1	-	-	-	-	-
OGC	-	-	-	-	-	-	-	-	-	-	-	-	-	-
OIG	-	4	-	-	2	11	2	-	11	1	-	-	-	-
SSC	-	-	-	2	-	1	-	-	-	-	-	-	-	-
AGENCY OVERALL	-	23	22	245	36	77	3	-	22	2	1	-	-	-

VI. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS

A. Received, Processed and Pending Administrative Appeals				
	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
ARC	-	-	-	-
DFRC	-	-	-	-
GRC	-	-	-	-
GSFC	-	-	-	-
HQ	-	-	-	-
JPL	-	-	-	-
JSC	-	-	-	-
KSC	-	-	-	-
LaRC	-	-	-	-
MSFC	-	-	-	-
NSSC	-	-	-	-
OGC	1	33	32	2
OIG	-	-	-	-
SSC	-	-	-	-
AGENCY OVERALL	1	33	32	2

B. Disposition of Administrative Appeals All Processed Appeals					
	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
ARC	-	-	-	-	-
DFRC	-	-	-	-	-
GRC	-	-	-	-	-
GSFC	-	-	-	-	-
HQ	-	-	-	-	-
JPL	-	-	-	-	-
JSC	-	-	-	-	-
KSC	-	-	-	-	-
LaRC	-	-	-	-	-
MSFC	-	-	-	-	-
NSSC	-	-	-	-	-
OGC	11	3	16	2	32
OIG	-	-	-	-	-
SSC	-	-	-	-	-

AGENCY OVERALL	11	3	16	2	32
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C.(1) Reasons for Denial on Appeal Number of Times Exemptions Applied															
	(b)(1)	(b)(2)	(b)(3)	(b)(4)	(b)(5)	(b)(6)	(b)(7)(A)	(b)(7)(B)	(b)(7)(C)	(b)(7)(D)	(b)(7)(E)	(b)(7)(F)	(b)(7)(8)	(b)(7)(9)	
ARC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
DFRC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
GRC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
GSFC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
HQ	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
JPL	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
JSC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
KSC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
LaRC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
MSFC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
NSSC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
OGC	-	-	-	2	1	-	-	-	-	-	-	-	-	-	-
OIG	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
SSC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
AGENCY OVERALL	-	-	-	2	1	-	-	-	-	-	-	-	-	-	-

C.(2) Reasons for Denial on Appeal Reasons Other than Exemptions				
			Other	TOTAL
			*Explain in chart below	
ARC	-	-	-	-
DFRC	-	-	-	-
GRC	-	-	-	-
GSFC	-	-	-	-
HQ	-	-	-	-
JPL	-	-	-	-
JSC	-	-	-	-
KSC	-	-	-	-
LaRC	-	-	-	-
MSFC	-	-	-	-
NSSC	-	-	-	-
OGC	-	-	-	-
OIG	-	-	-	-
SSC	-	-	-	-
AGENCY	-	-	-	-

OVERALL				
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C.(3) Reasons for Denial on Appeal Other Reasons		
Component	Description of Other Reasons for Denials from Chart C (2) & Number of Times Those Reasons Were Relied upon	TOTAL

C.(4) Response Time for Administrative Appeals												
	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
ARC	-	-	-	-	-	-	-	-	-	-	-	-
DFRC	-	-	-	-	-	-	-	-	-	-	-	-
GRC	-	-	-	-	-	-	-	-	-	-	-	-
GSFC	-	-	-	-	-	-	-	-	-	-	-	-
HQ	-	-	-	-	-	-	-	-	-	-	-	-
JPL	-	-	-	-	-	-	-	-	-	-	-	-
JSC	-	-	-	-	-	-	-	-	-	-	-	-
KSC	-	-	-	-	-	-	-	-	-	-	-	-
LaRC	-	-	-	-	-	-	-	-	-	-	-	-
MSFC	-	-	-	-	-	-	-	-	-	-	-	-
NSSC	-	-	-	-	-	-	-	-	-	-	-	-
OGC	20	16.5	<1	23	20	22.33	9	45	-	-	-	-
OIG	-	-	-	-	-	-	-	-	-	-	-	-
SSC	-	-	-	-	-	-	-	-	-	-	-	-
AGENCY OVERALL	20	16.5	<1	23	20	22.33	9	45	-	-	-	-

C.(5) Ten Oldest Pending Administrative Appeals											
	10th Oldest Appeal and Number of Days Pending	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal and Number of Days Pending	
ARC											
DFRC											
GRC											
GSFC											
HQ											
JPL											
JSC											
KSC											

LaRC											
MSFC											
NSSC											
OGC									02/09/2010 163	09/03/2009 269	
OIG											
SSC											
AGENCY OVERALL									02/09/2010 163	09/03/2009 269	

VII. FOIA REQUESTS: RESPONSE TIME FOR PROCESSED AND PENDING REQUESTS

A. Processed Requests Response Time for All Processed Perfected Requests												
	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
ARC	20	41.81	<1	319	-	-	-	-	-	-	-	-
DFRC	15	28.48	<1	169	244	244	244	244	12.5	12.5	6	19
GRC	6	11.56	<1	43	14	34.27	<1	279	-	-	-	-
GSFC	39	65.46	1	262	123.5	139.46	<1	434	15	44.8	4	172
HQ	210	244.01	<1	1097	314	361.56	2	1329	57	57	57	57
JPL	2.5	4.38	<1	29	25	24.33	19	29	-	-	-	-
JSC	5	8.54	<1	64	12	19.93	<1	237	11	12.43	2	30
KSC	1	5.75	<1	41	7.5	16.35	<1	67	<1	<1	<1	<1
LaRC	8	13.89	<1	102	202	308.35	<1	1200	-	-	-	-
MSFC	11	14.45	<1	62	21.5	49.5	5	153	20.5	19.75	8	30
NSSC	14	17.35	<1	51	18	25.5	2	64	-	-	-	-
OGC	20	20	20	20	-	-	-	-	-	-	-	-
OIG	24	49.46	1	261	-	-	-	-	-	-	-	-
SSC	2	4.59	<1	22	6	38.8	<1	164	2	2	2	2
AGENCY OVERALL	13	54.25	<1	1097	71	181.68	<1	1329	12	22.57	<1	172

B. Processed Requests Response Time for Perfected Requests in Which Information Was Granted												
	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
ARC	21	44.98	<1	319	-	-	-	-	-	-	-	-

DFRC	17.5	25.85	<1	92	244	244	244	244	-	-	-	-
GRC	3	9.67	<1	43	18	33.8	2	223	-	-	-	-
GSFC	33	58.48	1	223	95.5	127.82	<1	434	20	20	20	20
HQ	93.5	152.39	<1	804	239.5	315.65	2	952	57	57	57	57
JPL	3	5.88	<1	29	29	29	29	29	-	-	-	-
JSC	5	10.02	<1	64	16	19.8	<1	79	11	14.17	10	30
KSC	1	7.08	<1	41	22.5	23	<1	67	-	-	-	-
LaRC	10	18.9	<1	102	120.5	241.1	<1	1064	-	-	-	-
MSFC	14	15.9	<1	62	21.5	43.5	5	153	20.5	19.75	8	30
NSSC	14	18.5	<1	51	34	34	34	34	-	-	-	-
OGC	20	20	20	20	-	-	-	-	-	-	-	-
OIG	27	60.47	1	261	-	-	-	-	-	-	-	-
SSC	4	6	<1	22	4	8	<1	20	-	-	-	-
AGENCY OVERALL	15	34.62	<1	804	50	128.45	<1	1064	16	20.08	8	57

C. Processed Requests Response Time in Day Increments															
Simple Requests															
	< 1 Day	1-20 Days	21- 40 Days	41- 60 Days	61- 80 Days	81- 100 Days	101- 120 Days	121- 140 Days	141- 160 Days	161- 180 Days	181- 200 Days	201- 300 Days	301- 400 Days	401+ Days	TOTAL
ARC	4	42	23	6	4	2	2	2	-	1	-	3	1	-	90
DFRC	1	14	8	3	-	2	-	-	-	1	-	-	-	-	29
GRC	3	4	1	1	-	-	-	-	-	-	-	-	-	-	9
GSFC	-	10	10	6	1	3	-	4	-	-	3	2	-	-	39
HQ	5	12	5	3	1	3	2	1	2	2	2	16	14	14	82
JPL	14	33	1	-	-	-	-	-	-	-	-	-	-	-	48
JSC	12	62	6	1	1	-	-	-	-	-	-	-	-	-	82
KSC	7	14	2	1	-	-	-	-	-	-	-	-	-	-	24
LaRC	3	13	1	1	-	-	1	-	-	-	-	-	-	-	19
MSFC	8	58	26	3	1	-	-	-	-	-	-	-	-	-	96
NSSC	1	16	2	4	-	-	-	-	-	-	-	-	-	-	23
OGC	-	1	-	-	-	-	-	-	-	-	-	-	-	-	1
OIG	-	11	6	3	1	-	2	-	1	1	-	1	-	-	26
SSC	6	10	1	-	-	-	-	-	-	-	-	-	-	-	17
AGENCY OVERALL	64	300	92	32	9	10	7	7	3	5	5	22	15	14	585
Complex Requests															
	< 1 Day	1-20 Days	21- 40 Days	41- 60 Days	61- 80 Days	81- 100 Days	101- 120 Days	121- 140 Days	141- 160 Days	161- 180 Days	181- 200 Days	201- 300 Days	301- 400 Days	401+ Days	TOTAL
ARC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
DFRC	-	-	-	-	-	-	-	-	-	-	-	1	-	-	1
GRC	1	18	2	2	1	-	-	-	-	-	-	2	-	-	26

GSFC	3	35	15	13	21	9	12	10	14	22	9	32	21	2	218
HQ	-	11	14	13	11	3	4	1	2	2	3	16	16	69	165
JPL	-	1	2	-	-	-	-	-	-	-	-	-	-	-	3
JSC	10	73	23	9	2	1	-	1	-	-	-	1	-	-	120
KSC	5	24	13	3	1	-	-	-	-	-	-	-	-	-	46
LaRC	4	8	6	5	9	4	4	3	3	3	2	13	2	38	104
MSFC	-	3	3	-	-	-	1	-	1	-	-	-	-	-	8
NSSC	-	2	1	-	1	-	-	-	-	-	-	-	-	-	4
OGC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
OIG	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
SSC	1	3	-	-	-	-	-	-	-	1	-	-	-	-	5
AGENCY OVERALL	24	178	79	45	46	17	21	15	20	28	14	65	39	109	700

Requests Granted Expedited Processing

	< 1 Day	1-20 Days	21- 40 Days	41- 60 Days	61- 80 Days	81- 100 Days	101- 120 Days	121- 140 Days	141- 160 Days	161- 180 Days	181- 200 Days	201- 300 Days	301- 400 Days	401+ Days	TOTAL
ARC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
DFRC	-	2	-	-	-	-	-	-	-	-	-	-	-	-	2
GRC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
GSFC	-	4	-	-	-	-	-	-	-	1	-	-	-	-	5
HQ	-	-	-	1	-	-	-	-	-	-	-	-	-	-	1
JPL	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
JSC	-	6	1	-	-	-	-	-	-	-	-	-	-	-	7
KSC	1	-	-	-	-	-	-	-	-	-	-	-	-	-	1
LaRC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
MSFC	-	2	2	-	-	-	-	-	-	-	-	-	-	-	4
NSSC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
OGC	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
OIG	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
SSC	-	1	-	-	-	-	-	-	-	-	-	-	-	-	1
AGENCY OVERALL	1	15	3	1	-	-	-	-	-	1	-	-	-	-	21

D. Pending Requests All Pending Perfected Requests

	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
ARC	7	2	18.43	1	302	302	-	-	-
DFRC	-	-	-	-	-	-	-	-	-
GRC	1	10	10	2	238.5	238.5	-	-	-
GSFC	3	14	28.33	23	50	83.7	-	-	-
HQ	13	57	181.38	72	101.5	177.21	1	89	89

AGENCY	10/22/200	09/25/200	07/14/200	06/06/200	03/31/200	02/20/200	11/29/200	10/02/200	09/17/200	06/13/200
OVERALL	8	8	8	8	8	8	7	7	7	7
L	487	505	557	582	630	658	713	752	763	829

VIII. REQUESTS FOR EXPEDITED PROCESSING AND REQUESTS FOR FEE WAIVER

A. Requests for Expedited Processing					
	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
ARC	-	-	-	-	-
DFRC	2	1	0	2.33	3
GRC	-	-	-	-	-
GSFC	4	-	19	18.25	1
HQ	2	1	2	28	2
JPL	-	-	-	-	-
JSC	7	-	11	12.14	3
KSC	1	-	0	0	1
LaRC	-	-	-	-	-
MSFC	4	-	20.5	20.75	1
NSSC	-	-	-	-	-
OGC	-	-	-	-	-
OIG	-	-	-	-	-
SSC	1	-	2	2	1
AGENCY OVERALL	21	2	7	14.52	12

B. Requests for Fee Waiver				
	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
ARC	-	-	-	-
DFRC	-	-	-	-
GRC	-	-	-	-
GSFC	-	-	-	-
HQ	1	-	0	0
JPL	-	-	-	-
JSC	1	-	10	10
KSC	-	-	-	-
LaRC	-	-	-	-
MSFC	12	-	0	0
NSSC	1	-	29	29
OGC	-	-	-	-
OIG	-	-	-	-

SSC	-	-	-	-
AGENCY OVERALL	15	-	0	2.6

IX. FOIA PERSONNEL AND COSTS

	PERSONNEL			COSTS		
	Number of Full-Time FOIA Employees	Number of Equivalent Full-Time FOIA Employees	Total Number of Full-Time FOIA Staff	Processing Costs	Litigation-Related Costs	Total Costs
ARC	1	0.95	1.95	\$141,674.00	\$0.00	\$141,674.00
DFRC	0	0.57	0.57	\$63,004.00	\$0.00	\$63,004.00
GRC	1	0.05	1.05	\$98,880.39	\$0.00	\$98,880.39
GSFC	1	0.13	1.13	\$92,595.68	\$0.00	\$92,595.68
HQ	4	0.31	4.31	\$447,540.10	\$0.00	\$447,540.10
JPL	1	0.00	1.00	\$120,605.00	\$0.00	\$120,605.00
JSC	2	0.00	2.00	\$181,699.00	\$0.00	\$181,699.00
KSC	2	0.00	2.00	\$152,997.95	\$0.00	\$152,997.95
LaRC	1	0.10	1.10	\$77,000.00	\$0.00	\$77,000.00
MSFC	1	0.25	1.25	\$110,701.00	\$0.00	\$110,701.00
NSSC	0	0.80	0.80	\$27,638.76	\$0.00	\$27,638.76
OGC	0	5.13	5.13	\$655,019.00	\$0.00	\$655,019.00
OIG	0	0.15	0.15	\$16,079.00	\$0.00	\$16,079.00
SSC	0	0.03	0.03	\$39,564.02	\$0.00	\$39,564.02
AGENCY OVERALL	14.00	8.47	22.47	\$2,224,997.90	\$0.00	\$2,224,997.90

X. FEES COLLECTED FOR PROCESSING REQUESTS

	Total Amount of Fees Collected	Percentage of Total Costs
ARC	\$0.00	-
DFRC	\$0.00	-
GRC	\$743.15	0.75
GSFC	\$0.00	-
HQ	\$0.00	-
JPL	\$3,314.49	2.75
JSC	\$0.00	-
KSC	\$318.00	0.21
LaRC	\$0.00	-
MSFC	\$0.00	-
NSSC	\$0.00	-
OGC	\$0.00	-
OIG	\$0.00	-

SSC	\$0.00	-
AGENCY OVERALL	\$4,375.64	0.2

XI. FOIA Regulations (Including Fee Schedule)

A copy of NASA's FOIA regulations may be located at:
<http://www.hq.nasa.gov/office/pao/FOIA/fedregix.html>

A copy of NASA's FOIA fee schedule is located at:
<http://www.hq.nasa.gov/office/pao/FOIA/search.html>

XII. BACKLOGS, CONSULTATIONS, AND COMPARISONS

A. Backlogs of FOIA Requests and Administrative Appeals		
	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
ARC	3	-
DFRC	-	-
GRC	2	-
GSFC	15	-
HQ	77	-
JPL	-	-
JSC	2	-
KSC	5	-
LaRC	8	-
MSFC	-	-
NSSC	1	-
OGC	2	2
OIG	-	-
SSC	2	-
AGENCY OVERALL	117	2

Discuss/Explain the backlog here(Optional)

NASA has been working diligently throughout the year to reduce the FOIA backlog. FOIA Staff from NASA Centers have been instrumental in providing assistance to the HQ FOIA office in backlog reduction efforts. The collaborative efforts have resulted in a significant reduction of the FOIA backlog. At the end of the previous year, the actual backlog was 380*, this year the backlog was 117.

*The FY 09 FOIA annual report indicated the 'backlog' was the same number as the number of open requests, which was not accurate. This year the report is generated using software, which bases the backlog on those cases that are over the statutory timelimits for processing, rather than basing the backlog on all open cases.

B. Consultations on FOIA Requests Received, Processed, and Pending Consultations				
	Number of Consultations Received from Other Agencies	Number of Consultations Received from Other	Number of Consultations Received from Other Agencies	Number of Consultations Received from Other Agencies

	that Were <u>Pending</u> at Your Agency as of <u>Start</u> of the Fiscal Year	Agencies During the Fiscal Year	that Were <u>Processed</u> by Your Agency During the Fiscal Year	that Were <u>Pending</u> at Your Agency as of <u>End</u> of the Fiscal Year
ARC	-	-	-	-
DFRC	-	-	-	-
GRC	-	-	-	-
GSFC	-	-	-	-
HQ	-	-	-	-
JPL	-	-	-	-
JSC	-	-	-	-
KSC	-	-	-	-
LaRC	-	-	-	-
MSFC	-	-	-	-
NSSC	-	-	-	-
OGC	-	-	-	-
OIG	-	-	-	-
SSC	-	-	-	-
AGENCY OVERALL	-	-	-	-

C. Consultations on FOIA Requests Ten Oldest Consultations Received from Other Agencies and Pending at Your Agency										
	10th Oldest Consultation and Number of Days Pending	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation and Number of Days Pending
ARC										
DFRC										
GRC										
GSFC										
HQ										
JPL										
JSC										
KSC										
LaRC										
MSFC										
NSSC										
OGC										
OIG										
SSC										
AGENCY OVERALL										

D. Comparison of Numbers of Requests from Previous and Current Annual Report Requests Received, Processed, and Backlogged
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	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Years Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Years Annual Report	Number Processed During Fiscal Year from Current Annual Report
ARC	35	83	20	90
DFRC	4	28	-	32
GRC	10	28	-	35
GSFC	117	175	4	262
HQ	179	141	87	248
JPL	4	48	1	51
JSC	5	230	2	209
KSC	4	77	-	71
LaRC	33	59	1	123
MSFC	4	111	-	108
NSSC	-	29	-	27
OGC	-	3	-	1
OIG	6	21	-	26
SSC	-	25	-	23
AGENCY OVERALL	401	1058	115	1306

	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
ARC	11	3
DFRC	4	-
GRC	6	2
GSFC	100	15
HQ	177	77
JPL	1	-
JSC	2	2
KSC	1	5
LaRC	71	8
MSFC	2	-
NSSC	-	1
OGC	-	2
OIG	5	-
SSC	-	2
AGENCY OVERALL	380	117

E. Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report Appeals Received, Processed, and Backlogged		
	NUMBER OF APPEALS <u>RECEIVED</u>	NUMBER OF APPEALS <u>PROCESSED</u>

	Number Received During Fiscal Year from Last Years Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Years Annual Report	Number Processed During Fiscal Year from Current Annual Report
ARC	-	-	-	-
DFRC	-	-	-	-
GRC	-	-	-	-
GSFC	-	-	-	-
HQ	-	-	-	-
JPL	-	-	-	-
JSC	-	-	-	-
KSC	-	-	-	-
LaRC	-	-	-	-
MSFC	-	-	-	-
NSSC	-	-	-	-
OGC	1	33	-	32
OIG	-	-	-	-
SSC	-	-	-	-
AGENCY OVERALL	1	33	-	32

	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
ARC	-	-
DFRC	-	-
GRC	-	-
GSFC	-	-
HQ	-	-
JPL	-	-
JSC	-	-
KSC	-	-
LaRC	-	-
MSFC	-	-
NSSC	-	-
OGC	-	2
OIG	-	-
SSC	-	-
AGENCY OVERALL	-	2

F. Discussion of Other FOIA Activities (Optional)

NASA conducts teleconferences with FOIA staff throughout the Agency, providing collaboration as well as guidance in processing requests. In addition to supporting regular FOIA training, NASA hosts an Agency-specific day-long FOIA training event following the ASAP conference.
